

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

	)	Confirmation No. 2021
	)	
	)	Group Art Unit: 4172
	)	
Applicant: LAWRENCE	)	Examiner: William E. Rankins
	)	
Application No.: 10/801,238	)	<b>AMENDMENT and RESPONSE to</b>
	)	October 15, 2007 Non-Final Office Action
Filing Date: 3/15/2004	)	
	)	Docket No.: G08.147/U
For: PUBLIC OFFERING RISK	)	
MANAGEMENT	)	<b>PTO Customer Number 28062</b>
	)	Buckley, Maschoff & Talwalkar LLC
	)	50 Locust Avenue
	)	New Canaan, CT 06840
	)	

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Mail Stop Amendment (via EFS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed October 15, 2007, please amend the above-identified application as follows.

**Amendments to the claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 5 of this paper.